

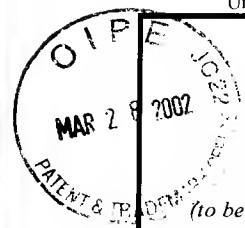
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MPB
PTO/SB/21 (6-99)

Approved for use through 09/30/2000. OMB 0651-0031

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

9

Application Number

09/997,158

Filing Date

11/28/2001

First Named Inventor

Stephen E. Savas

Group/Art Unit

Unassigned

Examiner Name

Unassigned

Attorney Docket Number

14912.833

ENCLOSURES (check all that apply)

Fee Transmittal Form

Fee Attached

Amendment / Response

After Final

Version with Markings Showing Changes

Affidavits/declaration(s)

Extension of Time Request

Information Disclosure Statement

Certified Copy of Priority Document(s)

Response to Missing Parts/ Incomplete Application

Response to Missing Parts under 37 CFR 1.52 or 1.53

Assignment Papers
(for an Application)

Drawing(s)

Licensing-related Papers

Petition Routing Slip (PTO/SB/69) and Accompanying Petition

Petition to Convert to a Provisional Application

Power of Attorney, Revocation Change of Correspondence Address

Terminal Disclaimer

Small Entity Statement

Request for Refund

After Allowance Communication to Group

Appeal Communication to Board of Appeals and Interferences

Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

Proprietary Information

Status Letter

Additional Enclosure(s)
(please identify below):

Remarks

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm
or
Individual name

Kevin J. Simons, Reg. No. 45,110, WILSON SONSINI GOODRICH & ROSATI

Signature

Date

3-28-02

Customer Number:

021971

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated below and addressed to: Commissioner for Patents, Washington, D.C. 20231, on this date: 3-28-02

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Typed or printed name

Linda Faye

Signature

Date

3-28-02

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MAR 28 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Savas et al.
 Serial No.: 09/997,158
 Filed: 11/28/2001
 For: Systems and Methods for Enhancing Plasma
 Processing of a Semiconductor Substrate

Group No.: Unassigned
 Examiner: Unassigned
 Confirmation No.: 3614

Commissioner for Patents
 Washington, D.C. 20231

COMPLETION OF FILING REQUIREMENTS—NONPROVISIONAL APPLICATION

- I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed 03/07/2002. A copy of the Notice to File Missing Parts of Application—Filing Date Granted (Form PTO-1533) is enclosed.
- II. No declaration or oath was filed. Enclosed is the Declaration for Utility or Design Patent Application (37 CFR 1.63) for this application.

DECLARATION OR OATH**COMPLETION FEES**

1. Filing Fee: Original patent application (37 CFR 1.16(a))	\$
2. Fee for Claims: Each claim in excess of 20 (37 CFR 1.16(c))	\$
3. Fee for Claims: Each independent claim in excess of 3 (37 CFR 1.16(b))	\$
4. Surcharge Fee: Late payment of filing fee (37 CFR 1.16(e))	\$130
5. Assignment (See "ASSIGNMENT COVER SHEET".)	\$
6. Total completion fees	\$130

EXTENSION OF TIME

- III. Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

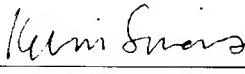
Fee Due: \$0

TOTAL FEE DUE

- IV. The total fee due is: Completion fees \$130 Extension of Time Fees \$0 Corrected Filing Receipt Fees \$0

TOTAL FEE DUE \$130**PAYMENT OF FEES**

- V. Charge Account No. 23-2415 (14912.833) in the amount of \$130.
 Please charge Account No. 23-2415 (14912.833) for any fees that may be due by this paper.


 Kevin J. Simons, Reg. No. 45,110
Customer No. 021971

Wilson Sonsini Goodrich & Rosati
 650 Page Mill Road
 Palo Alto, CA 94304
 (650) 493-9300



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APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
(09/997,158)	11/28/2001 OCT P E JC22	Stephen E. Savas	14912 833

021971
WILSON SONSINI GOODRICH & ROSATI
650 PAGE MILL ROAD
PALO ALTO, CA 943041050



CONFIRMATION NO. 3614
FORMALITIES LETTER



OC000000007592809

Date Mailed: 03/07/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

A copy of this notice MUST be returned with the reply.

J. Hernandez

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE